

12/31/01  
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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under

37 CFR 1.53(b))

Attorney Docket Number 18590-06192

First Named Inventor Dennis W. Vance

Title LIGHT TRANSMISSIVE FILTER  
HAVING ANISOTROPIC  
PROPERTIES AND METHOD OF  
FABRICATION

Express Mail Label No. EL566299629US

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority is claimed</i> )	
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	<i>Total Pages</i> 27	9. <input checked="" type="checkbox"/> Power of Attorney or Authorization of Agent	
■ Descriptive Title of the Invention		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement	
■ Cross Reference(s) to Related Case(s)		11. <input checked="" type="checkbox"/> Preliminary Amendment	
■ Statement Regarding Fed sponsored R & D		12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449	Copies of IDS Citation(s)
■ Background of the Invention		13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
■ Brief Summary of the Invention		14. <input checked="" type="checkbox"/> Return Postcard	
■ Brief Description of the Drawing(s)		15. <input type="checkbox"/>	
■ Detailed Description		16. <input type="checkbox"/>	
■ Claim or Claims		17. <input type="checkbox"/>	
■ Abstract of the Disclosure		ADDRESS TO:	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	<i>Total Sheets</i> 4	Box Patent Application Commissioner for Patents Washington, D.C. 20231	
5. Oath or Declaration		Prior application information: Examiner: _____ Group/Art Unit: _____	
a. <input checked="" type="checkbox"/> New Declaration	<i>Total Pages</i> 2	For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>		19. CORRESPONDENCE ADDRESS	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		(Barcode area)	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		Customer Number and Bar Code Label 00758	

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number and Bar Code  
Label



Name (Print/Type)	Albert C. Smith	Registration No. (Attorney/Agent)	20,355
Signature	Albert C. Smith	Date	12/31/01

0002/PTO(modified) U.S. Department of Commerce  
Rev. 10/2001 Patent and Trademark Office**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT**Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\\$) 410.00***Complete if Known*

Application Number	
Filing Date	December 31, 2001
First Named Inventor	Dennis W. Vance
Group Art Unit	
Examiner Name	

Attorney Docket Number 18590-06192

U.S. PTO  
12/31/01  
JC93240**METHOD OF PAYMENT****1. The Commissioner is hereby authorized to:**

- Charge the indicated fees to the below mentioned deposit account.
- Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2555  
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

**2.  Payment Enclosed:** Check     Credit Card     Other**FEE CALCULATION** (fees effective 10/01/2001)**1. FILING FEE**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	<b>370</b>
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
<b>SUBTOTAL (1)</b>		<b>(\\$) 370.00</b>	

**2. CLAIMS**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (3)</b>		<b>(\\$) 40.00</b>

For	No. of Existing Claims	(Col. 1)		(Col. 2)		(Col. 3)		Fee Due
		minus*	20 or 0	=	0	x		
TOTAL	16							= 0
INDEP	3							= 0

[ ] First presentation of multiple dependent claim

**SUBTOTAL (2) (\$0)**

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Albert C. Smith		Reg. Number	20,355
Signature		<i>Albert C. Smith</i>		Date	12/31/01

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor		Dennis W. Vance
Title	LIGHT TRANSMISSIVE FILTER HAVING ANISOTROPIC PROPERTIES AND METHODS OF FABRICATION	
Atty Docket Number		18590-06192

JCS92 PTO 02/01 06/02  
12/31/01

I hereby certify that the invention disclosed in the attached application **has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.** I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/31/01

Date

Signature

Albert C. Smith, Reg. No. 20,355

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.